

Topic: Data Structures for ADT **Disjoint Sets**

Alg Approach: Data Structuring

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Sometimes the main innovation is in the way the data is structured. An example is disjoint set operations.

Data: Elements  $x_1, x_2, \dots, x_n$  (could be integers)

Organization: maintained as disjoint sets

$$S = \{ S_1, S_2, \dots, S_k \}$$

- A set is non-empty
- A set is represented by one of its members
- The collection is dynamic (changes over time)

Operations:

Make Set ( $x$ ): //  $x$  is not already in a set

- makes a new set that just contains  $x$
- $x$  is representative.

Union ( $x, y$ )

- Set containing  $x$  and set containing  $y$

are unioned (one set)  
- representative?

u v

FindSet(x)

- returns a pointer (or name of)  
the representative of the unique set  
containing x

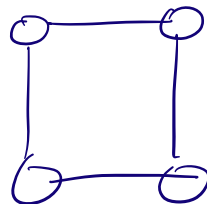
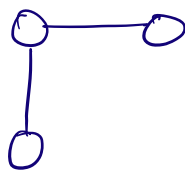
To analyze the running times of various  
implementations, we use two parameters:

n = number of MakeSet operations

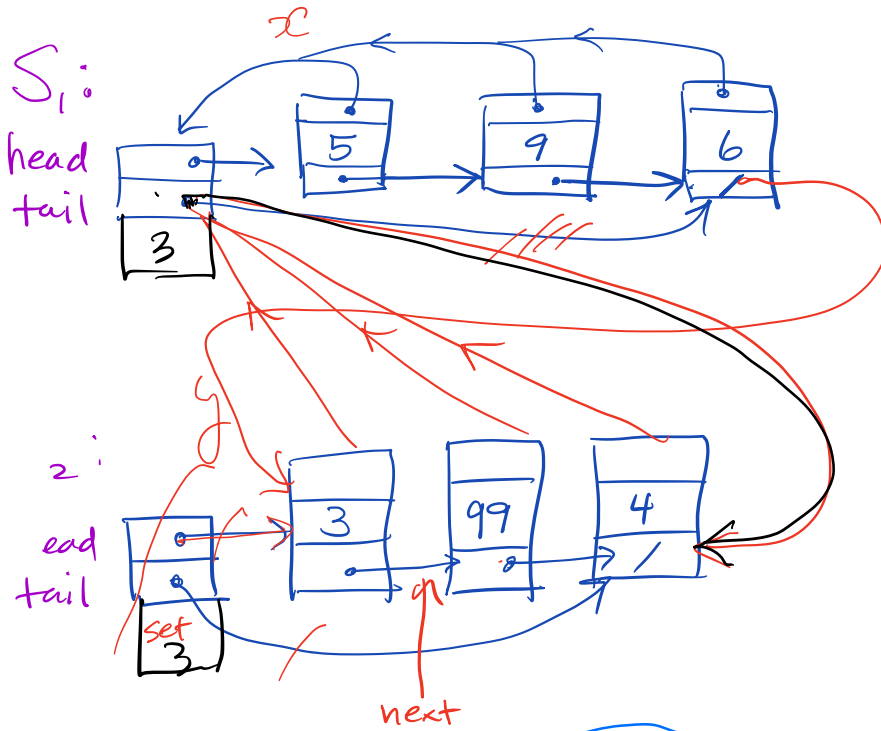
m = number of MakeSet, Union, FindSet  
operations.

Eg Application.

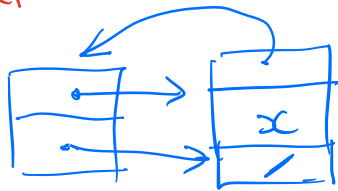
Maintaining a collection of graph components  
(such as LANs)



# Representing Disjoint Sets as Linked Lists.



Make Set (x) :



$O(1)$

Find Set (x) :

return  $x \rightarrow \text{set} \rightarrow \text{head}$

$O(1)$ .

if (FindSet(x)  $\neq$  FindSet(y))  
 while temp  $\neq$  NULL {  
 temp =  $x \rightarrow \text{set} \rightarrow \text{head}$

Union (x, y) : ?

temp  $\rightarrow$  set =  $y \rightarrow$  set  
 temp = temp  $\rightarrow$  next  
 }

$O(|y's\ set|)$

Ideas

First, what if we do no optimization.

Worst case # links



What is total <sup>Worst-case</sup> running time for  $m$  ops,  $n$  of which are MakeSet?  $O(n^2)$  for  $m$  ops

in worst case,  $m \in O(n)$  so amortized over  $m$  ops

How can we do better?

is  $O(n)$  per operation.

heuristic: when unioning,  
choose the smaller list to reassign  
"set" pointers to larger list.

## Weighted-Union heuristic

Theorem 21.1 (Introduction to Algorithms)  
(Cormen, Leiserson, Rivest + Stein)

Linked-list rep'n + weighted-union heuristic

for  $m$  ops,  $n$  makeSets, takes

$O(m + n \lg n)$  time

Worst case is  
when  $m \approx c \cdot n$

Then  $O(mn \lg n) \approx O(n \lg n)$   
and amortizing over  $c \cdot n$  op's,  
for a constant  $c$ , yields  $O(\lg n)$  time/op.

Proof: We prove an upper bound on the  
number of times  $x$ 's parent pointer  
can be reset.

After all  $m$  ops, what's the largest set-size  
 $x$  can be in?  $n$

For  $x$  to have its pointer reset,  
 $x$  must be in the smaller of the  
two sets being unioned...

So what happens to set-size of  $x$ 's  
set each time it's pointer is updated?

doubles (or more).

How many times can that happen  
before the set size is  $n$ ?


$\lg n$

Proof: The max number of union ops is  $n-1$ .

$\forall x$ ,  $x$ 's pointer is updated only if  
the size of the set containing  $x$  doubles.

This occurs  $\leq \lceil \lg n \rceil$  times during the  $m$  ops,  
as max set size is  $n$ .

- pointer updates  $\in O(n \lg n)$
- total running time for  $m$  ops is  $O(m + n \lg n)$
- worst case amortized running time is when

$m \approx n$  and amortized running time  
(time per operation, on average) is  
 $O(\lg n)$ . 

For dynamic data structures, that have  
a sequence of operations applied to them,  
we analyze the worst case running time  
for  $m$  operations and then amortize  
(average) that running time over the  $m$  ops  
(ie divide by  $m$ ).

Result is called the amortized running time  
per operation.

This is different from "Average case analysis"  
amortized = Worst case, amortized over # of ops.

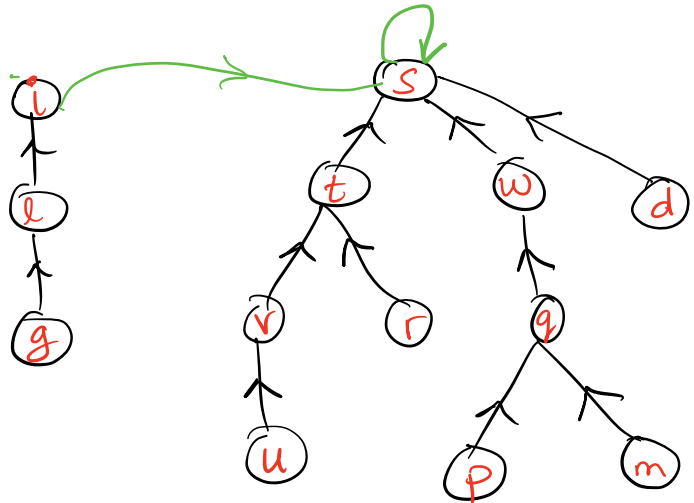
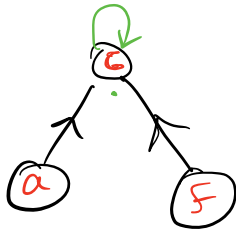
"Average" running time = Expected running time



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given an assumed distribution of the  
input cases.

# ADT Disjoint Sets

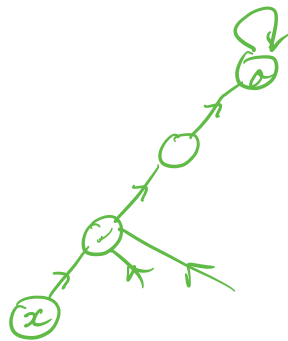
Represented by a Forest



MakeSet( $x$ )



FindSet( $x$ )



← return a ptr to the root.

Union( $x, y$ )

